



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

PATENT  
APPLICATION

In re PATENT APPLICATION of

Inventors: Arberger

Appln. No.: 09/998,016

Filed: November 29, 2001

Title: System and Method for Inserting and Integrating  
Streaming Video Content into a Digital Medium

Attn: Application Division

Atty. Dkt.

083114

0277565

Client #

Matter #

(Our Deposit Account No. 03-3975)

(Our Order No.

083114

SLI-001(u)

TMC#

Client Ref

Date: January 30, 2002

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ORIGINALLY FILED**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.

4. Applicants Hereby Claim **Small Entity Status**

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee (Applicant is a small entity)				\$740/ 370	\$ 370	101/201
6. Total Claims	20	minus 20 =	0	x \$18/\$09	+ 0	103/203
7. Independent Claims	3	minus 3 =	0	x \$80/\$40	+ 0	102/202
8. Surcharge for filing Declaration/filing fee late				\$130/\$65	+ 65	105/205
9.				FILING FEE ENCLOSED = \$ 435		
11. Original due date: 12/23/01						
10. If "assignment" box is X'd, add recording fee.....				\$40	+ 40	581
11.				TOTAL FEE enclosed: = 475		

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1600 Tysons Boulevard.  
McLean, Virginia 22102  
Tel: (650) 233-4734  
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PILLSBURY WINTHROP, LLP  
By: Chang H. Kim, Reg. No. 42,727

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on January 30, 2002.

Printed Name: Maria English



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,016	11/29/2001	Georges Auberger	83114/0277565 SLI-001(U)

CONFIRMATION NO. 3885

PILLSBURY WINTHROP, LLP  
1600 Tysons Boulevard  
McLean, VA 22102

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## FORMALITIES LETTER



\*OC00000007204203\*

Date Mailed: 12/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 HDEM551 00000056 13113013

01 FC:201  
02 FC:205

370.00 OP  
50.00 CP